

03-28-00

A

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 CFR 1.53(b))

Check Box, if applicable [] Duplicate

Attorney Docket No.

3900-003

Total Pages

19

3d135 U.S. PTO

09/536351

03/27/00

First Named Inventor or Application Identifier

SREENIVASA RAO DAMARLA, SRINIVASA SRIDHAR,
KRISHNASAMI RAMAN, AND MAMBULLY
CHANDRASEKARAN GOPINATHAN

Express Mail Label No. EL352565142US

APPLICATION ELEMENTS FOR:
STORAGE STABLE PESTICIDE FORMULATIONS
CONTAINING AZADIRACHTINADDRESS TO:
Assistant Commissioner for Patents
BOX PATENT APPLICATIONS
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form) (Submit an original and a duplicate for fee processing)
2. ☒ Application Total Pages [14]
3. ☐ Formal Drawing(s) (35 USC 113) (Figs. 1-20) Total Sheets []
4. ☒ Oath or Declaration and Power of Attorney Total Pages [2]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from prior application (37 CFR 1.63(d)
- for continuation/divisional with Box 17 completed). i. ☐ Deletion of Inventor(s)
- Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by reference (useable if box 4b is checked)
- The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet and document(s))
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)

Date March 27, 2000 Label No. EL352565142US

I hereby certify that, on the date indicated above, I deposited this paper or fee with the U.S. Postal Service and that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

Donald S. Prater
Name (Print)

DS Prater
Signature

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

3900-003

First Named Inventor or Application Identifier

SREENIVASA RAO DAMARLA, SRINIVASA SRIDHAR,
KRISHNASAMI RAMAN, AND MAMBULLY
CHANDRASEKARAN GOPINATHAN

PAGE 2 OF 3

10. ☐ English translation Document (if applicable)

11. ☐ Information Disclosure Statement

☐ Copies of IDS Citations

Total Pages ☐

12. ☐ Preliminary Amendment

☐ Please cancel claims

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Statement(s)

☐ Statement filed in prior application

15. ☐ Claim for Convention Priority

☐ Certified copy of Priority Document(s)

a. Priority of application no. filed on is claimed under 35 USC 119.

The certified copies/copy have/has been filed in prior application Serial No.

(For Continuing Applications, if applicable)

16. ☐ Other _____

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior

a. ☐

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$690.00
Total Claims	24 - 20	4	x \$18.00	\$72.00
Independent Claims	4 - 3	1	x \$78.00	\$78.00
Multiple Dependent Claims			\$260.00	
			Filing Fee	\$840.00
Reduction by 1/2 for small entity				\$.00
Fee for recording enclosed Assignment			\$40.00	
TOTAL				\$840.00

UTILITY PATENT
APPLICATION TRANSMITTAL

(Only for new non-provisional applications Under 37 CFR 1.53(b))

Attorney Docket No.

3900-003

First Named Inventor or Application Identifier

SREENIVASA RAO DAMARLA, SRINIVASA SRIDHAR,
KRISHNASAMI RAMAN, AND MAMBULLY
CHANDRASEKARAN GOPINATHAN

PAGE 3 OF 3

☒ A check in the amount of \$840.00 is enclosed to cover the filing fee.

☐ Please charge Deposit Account No. 50-0925 in the total amount of \$ _____ to cover the filing fee.
A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 50-0925. A duplicate of this sheet is attached.

8. CORRESPONDENCE ADDRESS

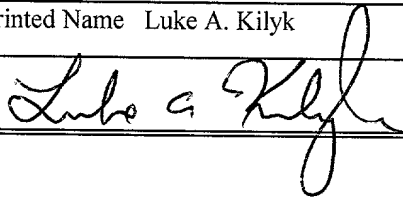
Luke A. Kilyk, Esq.
KILYK & BOWERSOX, P.L.L.C.
3603-E Chain Bridge Road
Fairfax, Virginia 22030
Telephone: (703) 385-9668
Facsimile: (703) 385-9719

SUBMITTED BY

Typed or Printed Name Luke A. Kilyk

Reg. No. 33,251

Signature:



Date: March 27, 2000

U.S. PATENT APPLICATION

OF

SREENIVASA RAO DAMARLA, SRINIVASA SRIDHAR, KRISHNASAMI RAMAN, AND
MAMBULLY CHANDRASEKARAN GOPINATHAN

FOR

STORAGE STABLE PESTICIDE FORMULATIONS
CONTAINING AZADIRACHTIN

STORAGE STABLE PESTICIDE FORMULATIONS
CONTAINING AZADIRACHTIN

BACKGROUND OF THE INVENTION

5 The present invention relates to pesticide formulations and more particularly relates to storage stable pesticide formulations containing azadirachtin.

 Extracts of various parts of the neem tree (*Azadirachta indica*) such as leaves, bark, seeds, etc. have been long known to have insecticide activity. The seed kernel, in particular, processes the most active limonoids, such as Azadirachtin A and B and structurally related compounds such as C, D, E,
10 F, G, H, I, J, K, and the like, along with nimbin, salannin, azadiradione, and the like. All of the natural azadirachtins have been reported to have a very high growth disturbing activity against *Epilachna varivestis*, with LC_{50} s in the range of 0.3 to 2.8 ppm (H. Rembold and I Puhlmann, 1995). More than 100 terpenoid metabolites are reported from the neem seed/fruit of the neem tree. Various methods have been described to extract these active components in the crude or semi crude forms to be used in
15 commercially acceptable vehicles in the form of liquid and solid formulations. The crude neem seed extracts obtained after removal of lipid components normally contain 20-45% of Azadirachtin A and B and have been shown to be potent insect growth regulators and feeding deterrent and form potential active ingredients in commercial pest control formulations. The active molecules of the neem seed extracts are rather large and complex, and having acid and base sensitive functional groups they tend
20 to be unstable in these vehicles, thus posing a major limitation for commercial use of these extracts.

 So far, azadirachtin has been widely formulated in liquid forms to be applied as an emulsion or solution to agriculture crops. Various organic solvents and other inorganic additives have been used as carriers in order to make a cost effective and efficacious delivery system. The use of such carriers is rather limited, however, since many solvents are deleterious to the stability of azadirachtin. Dureja
25 (1999) has studied the degradation of azadirachtin A in various solvents for 25 days at 29 +/- 1° C. The results indicated 50% degradation of azadirachtin A in methanol and acetone, 75-80% degradation in methylene chloride, carbon tetrachloride and chloroform and about 80% degradation in ethanol and water.

 Storage-stable azadirachtin formulations and methods of preparing stable azadirachtin
30 compositions have been proposed. U.S. Patent No. 4,556,562 reports that the stability of azadirachtin in ethanol emulsions increased by diluting the concentration of azadirachtin to between 2000 and 4000 ppm and adjusting the pH to between 3.5 and 6.0. U.S. Patent No. 4,946,681 (Walter) reports greater stability for azadirachtin in solutions of aprotic solvents containing less than 2-5% of water. U.S. Patent No. 5,001,146 indicates that azadirachtin stability is improved by adjusting the concentration

of polar aprotic solvent to at least 50% by volume and by decreasing water content to less than 15% by volume. Moreover, U.S. Patent No. 5,001,146 further indicates that azadirachtin stability depends upon the type of solvent employed, and that stability requires storage in large quantities of certain enumerated aprotic and alcohol solvents. Murali (U.S. Patent No. 5,736,145) reports a storage stable aqueous composition containing azadirachtin A and U.S. Patent No. 5,827,521 indicates a stable azadirachtin formulation containing aliphatic dihydroxylated alcohols of more than 80% by volume and optionally with sunscreens and antioxidants.

U.S. Patent No. 5,352,697 describes the enhancement of stability of azadirachtin in solution by the presence of epoxide, preferably an epoxidized vegetable oil. These methods describe the enhancement of azadirachtin containing extracts in the liquid form prepared from neem seed kernel with organic solvents.

European Patent No. 9216109 describes making an extract of neem seed in solid form with greater stability. The stability of azadirachtin in neem extracts is reported to be improved by removing the lipid impurities from extracts (Indian Patent Application No. 1855). Walter (U.S. Patent No. 5,635,193) reports that an azadirachtin containing solid is stable by limiting moisture and volatile polar solvents to less than 1% and 5%, respectively. A formulation containing 0.05% to 2% surfactant and 99% of solid diluent has been claimed as a stable bio-control agent by retention of at least 75% of azadirachtin after 2 weeks of storage at 54°C. Such a formulation may be used as a dust and wettable powder, but the efficacy of azadirachtins in such vehicular formulations is not practically reported.

Another formulation is a solid form of neem seed extract prepared from the kernel of neem seed as per the methods described in U.S. Patent No. 5,695,763, European Patent No. 9,216,109, and IN 181,845. The product is quite stable with respect to its active ingredients viz. Azadirachtin A, B, nimbin, salannin and the like.

Though, various extracts with stable Azadirachtin have been reported, stability of azadirachtin in a formulated state is still a concern. Azadirachtin is highly unstable in various surfactants, organic solvents, and in different combinations of solvent and surfactants in liquid formulations which is a serious limitation for the development of a shelf stable commercial product.

Normal pesticide formulations contain various solvents made mostly from petroleum, and there is a concern that usage of such solvents in specialty pesticide formulations, especially meant for organic farming, veterinary application, and the like, is discouraged. The use of such solvents, even at a lower rate, demands large amounts of surfactants and other additives which makes the cost of the formulations high. The use of a broader range of ingredients in liquid formulations and the associated problem of instability in such formulations is also a serious concern for the commercial success of azadirachtin containing crop protection agents. Accordingly, there is still a need for a formulation containing simple, safe, and cost effective vehicles which yet provides stable and efficacious products.

Various plant oils such as neem oil, castor oil, acorus oil, and sesame oil, have been used in specific end use applications as additives for enhancing the efficacy of formulations by synergism. These oils may be ideal substitutes to organic solvents but at higher concentrations these may cause phytotoxicity and instability to the active ingredients of the formulation. The advantage of sesame oil as a natural synergist to synthetic pyrethroid insecticides has been demonstrated. The possibility of increasing the efficacy of various neem seed extracts against different insects by addition of sesame oil has been examined. M. Schauer (1983) has reported that lecithin II with sesame oil has improved the effect of a neem extract obtained from methyl-tertiary-butyl-ether in achieving higher mortality of *Acyrtosiphon pisum* and *Aphis fabae*. N.Z. Dimetry et al. have reported that addition of sesame oil to commercial neem formulations containing azadirachtin has increased insecticide efficacy of the formulations against adult apids, *Aphis craccivora*. While these reports indicate that sesame oil may enhance the efficacy of neem extracts, no one has studied its effect on the stability of most active compounds of neem extracts such as azadirachtins which is an important aspect for development of commercial formulations.

Accordingly, there is a need to develop environmentally safe pesticide formulations which contain azadirachtin and which are further storage stable and economical.

One aim of the present invention is to develop a delivery system for azadirachtin containing neem seed extracts, free from any solvent, less expensive, and completely soluble in water.

The present invention provides a composition of a neem seed extract and sesame oil, free from any organic solvents, with better efficacy and storage stability for azadirachtins.

All of the patents and publications mentioned throughout this application are incorporated herein in their entirety.

SUMMARY OF THE INVENTION

A feature of the present invention is to provide a storage stable pesticide formulation which avoids the use of organic solvent.

A further feature of the present invention is to provide a pesticide formulation which is storage stable.

An additional feature of the present invention is to provide a pesticide formulation which contains primarily all natural components and is an effective pesticide formulation.

Additional features and advantages of the present invention will be set forth in part in the description which follows, and in part will be apparent from the description, or may be learned by practice of the present invention. The objectives and other advantages of the present invention will be realized and obtained by means of the elements and combinations particularly pointed out in the written description and appended claims.

To achieve these and other advantages, and in accordance with the purpose of the present invention, as embodied and broadly described herein, the present invention relates to a storage stable pesticide formulation containing at least one vegetable oil, at least one non-ionic surfactant, and azadirachtin.

5 The present invention further relates to a storage stable pesticide formulation containing at least one vegetable oil, at least one surfactant, which is preferably non-ionic, and a neem extract which contains at least azadirachtin.

10 It is to be understood that both the foregoing general description and the following detailed description are exemplary and explanatory only and are intended to provide further explanation of the present invention, as claimed.

DETAILED DESCRIPTION OF THE PRESENT INVENTION

The present invention relates to a pesticide formulation containing at least one vegetable oil, at least one surfactant, and azadirachtin, which is preferably present in the form of a neem extract.

15 The pesticide formulations of the present invention are especially useful as concentrates or technical formulations which are storage stable and can be diluted, such as with water, to form a pesticide formulation that can be used on plants, trees, and the like. Typically, the concentrates of the present invention are diluted with water at a rate of from about 2.5 to 5 ml concentrate to 1 liter of water, and more preferably from about 3 to about 4 ml concentrate to 1 liter of water. Since the
20 addition of water to azadirachtin containing formulations can be detrimental to the azadirachtin by causing degradation of the azadirachtin, the dilution generally occurs at the site of application. Diluting with water can cause the azadirachtin to be degraded such that the diluted formulation would need to be applied in approximately 3 days or less. While other solvents can be used, such solvents are expensive or detrimental to the environment. Thus, the present invention involves the preparation of
25 the technical product or concentrate which can preferably be diluted with water (for instance, diluted by the user) and applied in an effective and safe manner.

30 The concentrate of the present invention preferably contains substantially no water, and more preferably less than about 2% by weight and even more preferably less than 1% or less than 1/2% by weight of water, based on the weight of the concentrate. Generally, if water is present in these low amounts, it will be present due to moisture formation in the sealed container and will not be the result of the intentional addition of water.

The azadirachtin is preferably at least azadirachtin A and/or B. Other forms of azadirachtin can be used alternatively or in combination with the preferred azadirachtins. For instance, other structurally related azadirachtins can be used such as C, D, E, F, G, H, I, J, and/or K, and the like. The neem seed extract which can be present in the pesticide formulation contains at least azadirachtin.

5 Further, the neem seed extract can contain nimbin, salannin, azadiradone, and the like. Further, the neem seed extract can contain nimbin, salannin, azadiradone, and the like. For purposes of the present invention, azadirachtin includes one or more types of azadirachtins.

The amount of azadirachtin present in the pesticide formulation can be any amount effective to have insecticidal activity, such as, but not limited to, reducing or eliminating insecticidal damage to
10 trees and/or crops. Preferably the amount of azadirachtin present in the pesticide formulation is from about 0.1 weight % to about 5.0 weight % based on the weight of the pesticide formulation, and more preferably from about 0.1 % to about 1.0 weight % based on the weight of the pesticide formulation.

In terms of the neem seed extract, the neem seed extract is preferably present in an amount, such that from about 0.1 to about 5.0 weight % of azadirachtin is present in the pesticide formulation. Most
15 preferably, the azadirachtin present in the pesticide formulation is from about 0.1 to about 5.0 weight % azadirachtin A and/or B.

The method for producing the azadirachtin, which is storage stable, is described in U.S. Patent No. 5,695,763, which is incorporated herein in its entirety. In general, the azadirachtin is recovered preferably from the seeds of a neem tree by crushing the seeds and then extracting the azadirachtin and
20 other active ingredients from the crushed seeds with water. The extracting of azadirachtin and other active ingredients from the water is accomplished using a non-aqueous solvent which is not miscible with water and has a high solubility of azadirachtin than water, or by using a surfactant having a turbidity temperature between 20° and 80°C. The concentrated azadirachtin is then recovered from the second extracting solution. The azadirachtin containing solution is then concentrated to produce an
25 azadirachtin concentrate which is added to a liquid hydrocarbon, thus forming an azadirachtin precipitate that is then recovered for use in pesticide formulations. The method described in Indian Patent No. 181,845 can be also used for the preparation of azadirachtin containing extracts. The azadirachtin can also be recovered by the techniques set forth in U.S. Patent Nos. 4,556,562 and 5,124,349 and other conventional methods.

30 With respect to the vegetable oil, the vegetable oil is preferably present in an amount of about 60 weight % or less by weight of the pesticide formulation, and even more preferably is present in an amount of from about 20 weight % to about 50 weight % vegetable oil by weight of the pesticide formulation, and most preferably about 48 weight % vegetable oil, by weight of the pesticide formulation. The vegetable oil is preferably sesame seed oil. Mixtures of two or more types of
35 vegetable oils can be used.

With respect to the surfactant, the surfactant is preferably a non-ionic surfactant. Most preferably, the surfactant is a Sorbitan trioleate and even more preferably is a polyoxyethylene sorbitan trioleate such as polyoxyethylene 20 sorbitan trioleate which is sold under the trade name Tween® 85 which is a non-ionic surfactant manufactured by ICI Limited. The surfactant can be present in any amount as long as the azadirachtin and vegetable oil are uniformly dispersed throughout the pesticide formulation and the surfactant does not significantly hinder the insecticidal activity of the active ingredients. Preferably, the surfactant is present in an amount of from about 20 weight % to about 55 weight %, by weight of the pesticide formulation, and even more preferably is present in an amount of from about 40% to about 50% by weight, and most preferably is present in an amount of from about 48 to about 50 weight %. Mixtures of two or more different types of surfactants can be used.

These pesticide formulations can be prepared by conventional mixing techniques such as by adding the ingredients together and mixing the ingredients with conventional mixers. Preferably, the surfactant is added to the neem extract containing the azadirachtin. Then, sesame oil is added afterwards and the ingredients are mixed together to the extent that they are distributed uniformly throughout the formulation.

The behavior of azadirachtin in real time and in accelerated conditions (especially at 54° C) is quite different. The data generated on stability of azadirachtin A in a formulation indicated that the accelerated storage test over estimates the degradation of azadirachtin A in real time conditions. Under the accelerated storage test conditions (at 54° C), the degradation of azadirachtin A was observed to be 66% after 14 days but under real time conditions at 20° C, a degradation of approximately 0.9% per month was observed with a total degradation of 11% per year. Thus, for instance, the formulation (Formulation III, Example 2) as per the present invention can expect to have higher stability as it recorded only 35.35% degradation in 14 days at 54° C.

The pesticide formulations of the present invention, and preferably the concentrates, are preferably quite storage stable and thus very beneficial for commercial applications. Preferably, the pesticide formulations of the present invention have a stability such that at least 90% by weight of the azadirachtin originally present remains after 1 year of storage at 25°C in a sealed container. Most preferably, the pesticide formulations of the present invention are storage stable to the extent that at least 90% by weight of the azadirachtins originally present remain after 1 year of storage at room temperature in a sealed container.

The ability of the pesticide formulations of the present invention to contain no organic solvents and yet be storage stable has advantages over commercially available formulations since in many specialty applications, the use of organic solvents is not recommended.

Other embodiments of the present invention will be apparent to those skilled in the art from consideration of the specification and practice of the invention disclosed herein. It is intended that the

specification and examples be considered as exemplary only, with a true scope and spirit of the present invention being indicated by the following claims and equivalents thereof.

EXAMPLES

5 1. Preparation of Azadirachtin Formulations

The dry neem seed extracts of azadirachtin used for the preparation of various formulations were prepared according to the method described in Indian Patent No. 181,845. The neem seed extract powders normally contained 30-45% by weight of azadirachtin (A, 25-35% and B, 5-9%). One of the samples containing 40.26% by weight of azadirachtin (A, 31.51% and B, 8.75%) was used in the preparation of the following formulations. Analysis of azadirachtin content of all the samples and formulations was by HPLC using an external, analytically pure azadirachtin standard, following the method described by Kleeberg (1994).

Formulation I : 3.103 g of the neem seed extract powder containing azadirachtin was added slowly to a well stirred liquid of Tween 85 surfactant (96.90 g) in a conical flask and stirring was continued for 30 minutes until a clear homogeneous mixture was obtained. The azadirachtin content of the formulation was found to be 1.24% by weight.

Formulation II : 3.1g of the neem seed extract powder containing azadirachtin was added slowly to a well stirred liquid of Tween 85 surfactant (72.00 g) in a conical flask and stirring was continued for 30 minutes until the solid was completely dissolved. To this, sesame oil was added (25.04 g) and the contents were mixed thoroughly to obtain a clear homogeneous formulation. The azadirachtin content of the formulation was found to be 1.27% by weight.

Formulation III : 3.15 g of the neem seed extract powder containing azadirachtin was added slowly to a well stirred liquid of Tween 85 surfactant (48.86 g) in a conical flask and stirring was continued for 45 minutes until the solid was completely dissolved. To this, sesame oil was added (48.0 g) and the contents were stirred thoroughly to obtain a clear homogeneous formulation. The azadirachtin content of the formulation was found to be 1.24% by weight.

Formulation IV : 3.11 g of the neem seed extract powder containing azadirachtin was added slowly to a well stirred liquid to Tween 85 surfactant (22.03 g) in a conical flask and stirring was continued for about 60 minutes until the solid was completely dissolved. To this, sesame oil was added (75.03 g) and the contents were stirred for 20 minutes. The formulation was found to be non-homogeneous at this proportion of Tween 85 surfactant and sesame oil and the layers of surfactant and oil were separated upon standing. The individual layers thus obtained were separated with the help of a separating funnel. The sesame oil fraction containing 0.26% by weight of azadirachtin was used for evaluation of stability of azadirachtin in sesame oil.

Formulation V : 3.11 g of the neem seed extract powder containing azadirachtin was added slowly to a well stirred solution of Tween 85 surfactant (32.24 g) and cyclohexanone (32.36 g) in a conical flask and stirring was continued for 30 minutes until the solid completely dissolved. To this, neem oil was added (32.20 g) and the contents were stirred thoroughly to obtain a clear homogeneous formulation. The azadirachtin content of the formulation was found to be 1.25% by weight.

2. Stability of Azadirachtin Formulations Containing Sesame Oil

The stability of formulations I-IV obtained by Example 1 were studied at 54° C. Each of the samples (20 g) were stored into a sealed glass vial and kept in the oven at 54° C for 28 days. Samples were taken out every week and analyzed for azadirachtin content using HPLC. The results (Table 1) indicate that a higher stability for azadirachtin was achieved in formulations II and III compared to the rest of the formulations.

Table 1

Formulation	Initial Azadirachtin, A & B content (%)			% Degradation of Azadirachtin A & B at 54° C					
				7 days		14 Days		28 Days	
	A	B	A & B	A	B	A	B	A	B
I	0.96	0.27	1.23	47.87	Nil	68.25	3.70	83.07	3.70
II	0.99	0.28	1.27	45.45	-	59.60	3.57	75.76	3.57
III	0.99	0.28	1.27	25.25	Nil	35.35	Nil	52.52	3.57
IV	0.18	0.08	0.26	11.11	Nil	27.77	Nil	50.00	12.5

3. Antifeedant Effect of Azadirachtin Formulations Containing Sesame Oil and Neem Oil Against *Spodoptera litura*

Formulations I-III and V were tested for their antifeedant effect against the insect *Spodoptera litura*. The castor leaf disks were cut and dipped in 30 and 50 ppm of azadirachtin concentrations of different formulations and offered to 5 day old *S. litura* larvae. The experiments were replicated three times with ten insects per treatment per replication. The percentage antifeedancy was assessed after 2, 5, 10, and 14 days after treatment by calculating the difference in leaf area consumed in the treated and control group. Formulation III with 48% by weight of sesame oil showed higher antifeedant activity.

Table 2

Treatments Aza (ppm)	Days after Treatment	% Antifeedancy			
		Formulation I	Formulation II	Formulation III	Formulation V
30	2	33.9 c	46.17 b	60.53 a	43.56 b
	5	66.41 b	71.17 b	89.67 a	70.23 b
	10	91.90 d	94.90 b	96.94 a	93.86 c
	14	89.63 b	96.57 a	97.13 a	93.79 c
50	2	53.12 ab	49.34 ab	66.02 a	36.56 b
	5	72.36 b	74.27 b	92.09 a	69.29 b
	10	95.25 c	95.78 b	95.25 c	94.05 d
	14	92.49 d	95.49 a	92.49 d	94.02 c

Mean values in a row followed by the same letter means the values are similar and have no significant difference ($p < 0.001$) Duncan Multiple Range Test (DMRT).

5 4. Growth Inhibition Effect of Azadirachtin Formulations Containing Sesame Oil and Neem Oil Against *Spodoptera litura*

The insect growth inhibition active of formulations I - III and IV were tested against the insect *S. litura*. The castor leaves, treated with 30 and 50 ppm of azadirachtin concentration of various formulations, were offered to 5 day old *S. litura* larvae. The experiments were conducted using ten insects per treatment and replicated three times. Growth inhibition was assessed 2, 5, 7, 10, and 14 days after treatments by weighing the larvae. The mean weights for each treatment group were expressed as a percentage of controls. Formulation III containing 48% by weight of sesame oil again showed the highest growth inhibitory activity against the insect *Spodoptera litura* (Table 3).

Table 3

Treatments Aza (ppm)	Days after Treatment	% Growth Inhibition			
		Formulation I	Formulation II	Formulation III	Formulation V
30	2	32.9 a	39.4 a	52.5 a	37.3 a
	5	63.9 ab	65.3 ab	59.8 ab	65.4 ab
	7	87.6 d	89.6 bc	90.6 b	89.2 c
	10	91.5 f	94.4 d	96.3 b	93.8 e
50	2	45.2 a	53.1 a	48.1 a	38.4 a
	5	69.2 ab	74.3 ab	68.5 a	61.8 ab
	7	90.9 d	90.9 b	92.6 a	90.3 bc
	10	95.3 c	96.2 b	98.0 a	94.9 c

Mean values in a row followed by the same letter are statistically not significant ($p < 0.001$) DMRT.

5. Mortality Effect of Azadirachtin Formulations Containing Sesame Oil and Neem Oil Against *Spodoptera litura*.

The insecticidal (mortality) activity of azadirachtin formulations (I - III and V) was studied against the insect *Spodoptera litura*. For the mortality test, castor leaves treated with 30 and 50 ppm concentrations of azadirachtin of various formulations were offered to 5 day old *S. litura* larvae. The experiments were replicated three times with 10 insects per treatment per replication. The percentage mortality was assessed after 5, 7, 10, and 14 days after treatment. The efficacy data (Table 4) indicates that Formulation III containing 48% by weight of sesame oil again showed the highest overall mortality against the insect *Spodoptera litura*.

Table 4

Treatments Aza, PPM	Day	% Mortality			
		Formulation I	Formulation II	Formulation III	Formulation V
30	5	0.0 c	6.7 b	20.0 a	10.0 b
	7	15.8 b	26.7 c	32.5 bc	16.7 d
	10	27.5 c	41.7 bc	43.3 b	35.8 bc
	14	69.7 e	93.3 d	96.3 b	78.4 d
50	5	0.0 c	10.0 b	23.3 a	24.1 a
	7	10.0 b	43.3 ab	50.8 a	35.0 bc
	10	40.8 bc	50.8 b	66.7 a	38.3 bc
	14	80.1 d	96.7 b	100.0 a	93.0 c

Mean values in a row followed by the same letter are statistically not significant ($p < 0.001$) DMRT.

WHAT IS CLAIMED IS:

1. A pesticide formulation comprising at least one vegetable oil, at least one surfactant, and azadirachtin, wherein said formulation is storage stable.
2. A pesticide formulation comprising at least one vegetable oil, at least one non-ionic
5 surfactant, and azadirachtin, wherein said formulation is storage stable and contains substantially no water.
3. A storage stable pesticide formulation comprising at least one vegetable oil, at least one non-ionic surfactant, and a neem seed extract, wherein said neem seed extract comprises azadirachtin.
- 10 4. The formulation of claim 3, wherein said vegetable oil is sesame seed oil.
5. The formulation of claim 3, wherein said vegetable oil contains less than 1% by weight free fatty acid based on the weight of the vegetable oil.
6. The formulation of claim 3, wherein said azadirachtin is present in said formulation in an amount of from about 0.1 to about 5.0 weight %.
- 15 7. The formulation of claim 3, wherein said azadirachtin is present in said formulation in an amount of from about 0.1 to about 1.0 weight %.
8. The formulation of claim 3, wherein said azadirachtin is azadirachtin A, azadirachtin B, or both.
9. The formulation of claim 3, wherein said formulation is storage stable such that at least
20 90% by weight of the azadirachtin originally present remains after 1 year of storage at 25°C in a sealed container.
10. The formulation of claim 3, wherein said formulation comprises:
from about 1% to about 60% by weight of vegetable oil.
from about 1% to about 5% by weight azadirachtin;
25 and from about 20% to about 55% by weight of said non-ionic surfactant.
11. The formulation of claim 10, wherein said surfactant is a sorbitan polyoxyethylene trioleate.
12. The formulation of claim 10, wherein said vegetable oil is sesame seed oil.
13. The formulation of claim 10, wherein said formulation contains substantially no water.
14. A storage stable pesticide formulation formed by mixing at least one vegetable oil, at least
30 one non-ionic surfactant, and at least one neem extract together to form said formulation, wherein said neem extract comprises at least azadirachtin.
15. The formulation of claim 14, wherein said at least one vegetable oil is sesame seed oil.
16. The formulation of claim 15, wherein said non-ionic surfactant is sorbitan polyoxyethylene trioleate.

17. The formulation of claim 1, wherein said formulation is substantially free of organic solvents.

18. The formulation of claim 10, wherein said formulation is substantially free of organic solvents.

5 19. The formulation of claim 14, wherein said formulation is substantially free of organic solvents.

20. The formulation of claim 1, wherein said formulation is diluted with water for application.

21. The formulation of claim 2, wherein said formulation is diluted with water for application.

22. The formulation of claim 10, wherein said formulation is diluted with water for application.

10 23. The formulation of claim 10, wherein said vegetable oil is present in an amount of from about 20 weight % to about 50 weight %; and

said surfactant is present in an amount of from about 40 weight % to about 50 weight %.

24. The formulation of claim 23, wherein said vegetable oil is sesame seed oil.

ABSTRACT

5 A pesticide formulation is described which contains at least one vegetable oil, at least one surfactant, and at least one type of azadirachtin. Preferably, the vegetable oil is at least a sesame seed oil and the surfactant is at least a non-ionic surfactant. The pesticide formulations of the present invention are preferably storage stable and are environmentally safe since preferably no organic solvents are present in the formulations.

Declaration for U.S. Patent Application

As a below named inventor, We/I hereby declare that:

Our residence, post office address and citizenship are as stated below next to our names.

We/I believe We/I are the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled STORAGE STABLE PESTICIDE FORMULATIONS CONTAINING AZADIRACTIN, the specification of which is attached hereto unless the following is checked

- ☐ was filed on _____ as United States Application Number _____ and
- ☐ was amended on _____ (if applicable).

We/I hereby state that We/I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

We/I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

We/I hereby claim foreign priority benefit under Title 35, United States Code, § 119 (a) - (d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application for which priority is claimed:

(List prior foreign applications:)

(Number)

(Country)

(Day/Month/Year Filed)

Priority Claimed

Yes No

(Number)

(Country)

(Day/Month/Year Filed)

Yes No

(Number)

(Country)

(Day/Month/Year Filed)

Yes No

(Number)

(Country)

(Day/Month/Year Filed)

Yes No

See attached list for additional prior foreign applications.

We/I hereby claim the benefit under Title 35, United States Code, § 120 or § 119(e) of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, We/I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(List Prior U.S. Applications)

(Appl. Serial No.)

(Filing Date)

(Status: Patented, Pending, Abandoned)

(Appl. Serial No.)

(Filing Date)

(Status: Patented, Pending, Abandoned)

(Appl. Serial No.)

(Filing Date)

(Status: Patented, Pending, Abandoned)

We/I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in connection with this application at the Trademark Office connected therewith:

Luke A. Kilyk, Reg. No. 33,251; and Leonard D. Bowersox, Reg. No. 33,226

Please direct all communications to the following address:

Luke A. Kilyk, Esq.
KILYK & BOWERSOX, P.L.L.C.
3603-E Chalmers Bridge Road
Fairfax, VA 22030

Telephone: (703) 305-1000 Fax: (703) 305-9719 or (703) 305-9717

We/I hereby declare that all statements made herein of our own knowledge are true and that all statements made on information or belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the use of such statements are punishable by fine or imprisonment, or both, under Title 18 of the United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(See note C above)

Full name of first inventor (given name, family name) SREENIVASA RAO DAMARLA

Inventor's signature Sreenivasa Rao Date _____

Residence SRI VENKATESWARANILAYA, B/H EAST POINT ACAD, Citizenship India

11 CROSS, SOFT ROAD, KALYANA NAGAR (PO) BANGALORE 560 043

Post Office Address Same as above

Full name of second inventor (given name, family name) SREENIVASA SRINIVAS

Inventor's signature Srinivas Date 27/03/2000

Residence SANU VILLA, Behind Police Quarters, Citizenship India

Devaseendra, Krishnarajapuram - BANGALORE 560036

Post Office Address Same as above

Full name of third inventor (given name, family name) KRISHNASAMYRAMAN

Inventor's signature K. Ramani Date _____

Residence 398, THIRUVALLUVAR SALAI, Citizenship India

PANNEER NAGAR, ANNANAGAR WEST EXT 2 CHENNAI-58

Post Office Address Same as above PIN 600058

Full name of fourth inventor (given name, family name) MAMBULLY CHANDRASEKARAN GOPINATHAN

Inventor's signature Gopinathan Date 27/03/2000

Residence 1197 ANUBRAHA MUNISWAMAPPA GARDEN, Citizenship India

KARIANAPAKAYA - BANGALORE 560084

Post Office Address Same as above